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Assistant Commissioner for Patents,
Washington, D.C. 20231, on June 21, 2002

TOWNSEND and TOWNSEND and CREW LLP

By Landon Clark
Landon Clark



PATENT

Attorney Docket No.: 089795-000000US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Glenn W. Baxter et al.

Examiner: Unassigned

Serial No.: 10/084,489

Art Unit: 2661

Filed: February 28, 2002

Batch No.:

For: Tunable Wavelength Multiplexer

LETTER TO OFFICIAL DRAFTSPERSON

Box Issue Fee

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The applicants submits 13 sheets of formal drawings to be made of record in the above-identified case.

Respectfully submitted,

A handwritten signature of Cathy E Cretsinger.

Cathy E Cretsinger
Reg. No. P51,588

TOWNSEND and TOWNSEND and CREW LLP

Two Embarcadero Center, 8th Floor

San Francisco, California 94111-3834

(415) 576-0200

Fax (415) 576-0300

CEC/lle

SF 1358725 v1

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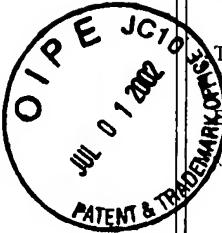
Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

On June 21, 2002

PATENT
Attorney Docket No.:089795-000000US #3

TOWNSEND and TOWNSEND and CREW ALP

By: Landon Clark



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**CCOPY OF PAPERS
ORIGINALLY FILED**

In re application of:

Glenn W. Baxter et al.

Application No.: 10/084,489

Filed: 02/28/02

For: Tunable Wavelength Multiplexer

Art Unit: 2661

**RESPONSE TO NOTICE TO FILE
MISSING PARTS OF APPLICATION**

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice To File Missing Parts of Nonprovisional Application dated April 10, 2002, applicant submits herewith:

- 1) A fully executed Declaration
- 2) Power of Attorney or Authorization of Agent
- 3) Statement under 3.73(b)
- 4) Petition for Extension of Time Under 37 CFR 1.136(a)

Please charge the fees as set forth on attached Form PTO/SB/17 and any additional fees under 37 CFR 1.16 or 1.17 associated with this paper or otherwise required during the pendency of this application, and credit any overpayment to the Deposit Account No. 20-1430 of the undersigned.

Glenn W. Baxter et al.
Application No.: 10/084,489
Page 2

PATENT

If the Examiner believes a telephone conference would expedite prosecution of this application, please telephone the undersigned at 415-576-0200.

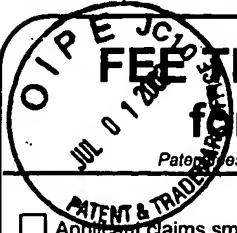
Respectfully submitted,



Cathy E. Cretsinger
Reg. No. P51,588

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
Tel: (415) 576-0200
Fax: (415) 576-0300
CEC/llc
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FEES TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

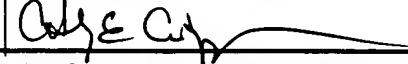
 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

240

Complete If Known	
Application Number	10/084,489
Filing Date	February 28, 2002
First Named Inventor	Baxter, Glenn W.
Examiner Name	Not Yet Assigned
Group Art Unit	2661
Attorney Docket No.	089795-000000US

METHOD OF PAYMENT (check all that apply)					FEE CALCULATION (continued)				
<input type="checkbox"/> Check	<input type="checkbox"/> Credit Card	<input type="checkbox"/> Money Order	<input type="checkbox"/> Other	<input type="checkbox"/> None	3. ADDITIONAL FEES				
<input checked="" type="checkbox"/> Deposit Account:					Large Entity	Small Entity	Fee Description		
Deposit Account Number					Fee Code (\$)	Fee Code (\$)	Fee	Fee	Paid
20-1430					105	130	205	65	130
Deposit Account Name					127	50	227	25	
The Commissioner is authorized to: (check all that apply)					139	130	139	130	Surcharge - late filing fee or oath
<input checked="" type="checkbox"/> Charge fee(s) indicated below <input type="checkbox"/> Credit any overpayments					147	2,520	147	2,520	Surcharge - late provisional filing fee or cover sheet.
<input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application					112	920*	112	920*	Non-English specification
<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.					113	1,840*	113	1,840*	For filing a request for reexamination
					115	110	215	55	Requesting publication of SIR prior to Examiner action
					116	400	216	200	Requesting publication of SIR after Examiner action
					117	920	217	460	Extension for reply within first month
					118	1,440	218	720	Extension for reply within second month
					128	1,960	228	980	Extension for reply within third month
					119	320	219	160	Extension for reply within fourth month
					120	320	220	160	Extension for reply within fifth month
					121	280	221	140	Notice of Appeal
					138	1,510	138	1,510	Filing a brief in support of an appeal
					140	110	240	55	Request for oral hearing
					141	1,280	241	640	Petition to institute a public use proceeding
					142	1,280	242	640	Petition to revive – unavoidable
					143	460	243	230	Petition to revive – unintentional
					144	620	244	310	Utility issue fee (or reissue)
					122	130	122	130	Design issue fee
					123	50	123	50	Plant issue fee
					126	180	126	180	Petitions to the Commissioner
					581	40	581	40	Petitions related to provisional applications
					146	740	246	370	Submission of Information Disclosure Stmt
					149	740	249	370	Recording each patent assignment per property (times number of properties)
					179	740	279	370	Filing a submission after final rejection (37 CFR § 1.129(a))
					169	900	169	900	For each additional invention to be examined (37 CFR § 1.129(b))
					Other fee (specify) _____				Request for Continued Examination (RCE)
									Request for expedited examination of a design application
					*Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$240)

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Cathy E. Cretsinger	Registration No. (Attorney/Agent)	P51,588	Telephone	415-578-0200
Signature				Date	June 21, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. SF 1358873 v1



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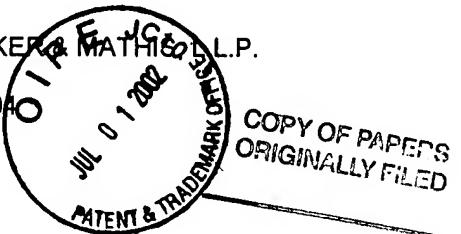
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/084,489	02/28/2002	Glenn Wayne Baxter	033445-004

CONFIRMATION NO. 6666
FORMALITIES LETTER



OC00000007698045

Alan E. Kopecki, Esq.
BURNS, DOANE, SWECKER & MATHIS, L.P.
P.O. Box 1404
Alexandria, VA 22313-1404



Date Mailed: 03/22/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Teddy

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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